

## Management Structure

### 1. Board of Directors

The Company and subsidiaries have many committees such as the Board of Directors, the Audit Committee and the Nomination and Remuneration Committee. The Boards of Directors and Managements of the Company and subsidiaries are comprised of qualified persons possessing all qualifications specified under Section 68 of the Public Limited Company Act B.E. 2535 and the Notification of the Capital Market Advisory Board.

#### A. Trinity Watthana Public Company Limited

##### Directors

As of December 31, 2020, the Board of Directors consists of 12 members, namely

1. Mr.Pakhawat Kovithvathanaphong	Chairman
2. Dr.Panja Senadisai	Independent Director and the Audit Committee and Chairman of the Nomination and Remuneration Committee
3. Mr.Pairote Varophas	Independent Director and the Audit Committee
4. Mr.Abhichart Arbhabhirama	Chairman of the Audit Committee and Independent Director and the Nomination and Remuneration Committee
5. Mr.Kampanart Lohachaloenvanich	Independent Director and the Audit Committee
6. Mr.Surachai Suksriwong*	Director and the Nomination and Remuneration Committee
7. Mr.Nitipon Chaisakulchai	Director and the Nomination and Remuneration Committee
8. Ms. Kanitha Subpa-Asa **	Director and the Nomination and Remuneration Committee
9. Dr.Worata Kongseannitsarar	Director
10. Mr. Sorayouth Prompoj	Independent Director
11. Mr.Charnchai Kongthongluck	Director and CEO
12. Dr.Visit Ongpipatanakul	Director

Note:

\* Mr. Surachai Suksriwong resigned from being a director on January 3, 2020.

\*\* Ms. Kanitha Subpa-Asa was appointed as a Director on August 13, 2020

### Authorized Directors

To bind the Company, two of the following five authorized directors shall sign : Mr.Pakhawat Kovithvathanaphong, Mr.Abhichart Arbhabharama, Mr.Nitipon Chaisakulchai, Mr.Charnchai Kongthongluck and Dr.Visit Ongpipatanakul.

The Company's Board of Directors are in charge of setting the Company's overall policies which will be served as guidelines to be complied with by other companies in the Group. The boards of directors of the companies in the Group, i.e. the Boards of Directors of Trinity Securities Co., Ltd. Trinity Advisory 2001 Co., Ltd. and Trinity Intelligence Plus Co.,Ltd. Trinity One Co.,Ltd. and Asset Back Holding Co.,Ltd. are required to report the operations of their companies directly to the Company's Board of Directors.

### Scope of Roles and Responsibilities of the Board of Directors

1. Perform duties with caution, honesty and operate the business in Accordance with the laws, objectives and regulations of the company as well as the resolutions of the shareholders' meeting.
2. Set objectives vision and goals together with management to be the direction of the business of the Group, control, monitor the operation of the group companies to be in accordance with the rules and regulations of relevant government agencies, assure sufficient disclosure of information to all shareholders and related parties, operate transparently by adhering to ethics, and maximize benefits to shareholders
3. Formulate strategies, policies, plans and budgets of the Group by supporting the appropriate use of innovation and technology and supervise the use of information to add value to the business sustainably. Control and supervise the management of the board of directors of the Group to be in accordance with the policy, except for the matters that the director must obtain approval from the shareholders 'meeting prior to the operation, the matter that the law requires the resolution of the shareholders' meeting, such as capital increase, capital reduction, trading or business transfer of other companies, merging with other companies, amendment to the Memorandum of Association and the regulations on remuneration and gratuities of directors, etc.
4. To set committees in the number deemed appropriate by the Board of Directors to supervise the Company's business as Audit Committee, Nomination and Remuneration Committee and approve duties and responsibilities of sub-committees.
5. Consider the appointment of the Chairman of the Board, and executives in the Chief Executive Officer level, Director, Managing Director of companies in the group and approve duties and responsibilities.

6. To appoint operational committees for certain types of works such as Credit Committee, Private Funds Committee and Proprietary Committee

7. To approve a pledging of any assets or property of the Company or subsidiaries as a collateral or as a part of contingent liability.

8. To approve an execution of any agreement which causes contingent liabilities over the Group companies and whose amount exceeds the limit assigned to the Operational Committee or authorized person.

9. To approve an investment for business expansion and joint venture with other entrepreneurs.

10. Acknowledge important audit reports of the Audit Committee or the Internal Audit and Compliance Department, including the auditor of the company, and set guidelines for improvement in the case of significant mistakes.

11. Determine and review the good corporate governance policy in order for the company to have transparent operations, to have the ability to compete and adapt under changing factors, which will lead to growth, increase value and value for shareholders in the long term with regard to stakeholders, the impact on society and the environment.

The above duties and responsibilities assigned to the Board of Directors do not include an authority allowing the Board of Directors to approve the transactions which may cause the Board themselves or any conflicting parties to have interest or conflict of interest of any manner with the Company. Also, the Board of Directors are required to seek approval from the shareholders for an engagement in any connected transactions and the acquisition or disposition of key assets of the Company in accordance with the stipulations of the Stock Exchange of Thailand.

### **Scope of Roles and Responsibilities of the Board of Directors' Chairman**

1. To be the head of the Company's Board of Directors

1.1 To chair the Board of Directors' meeting.

1.2 To convene and set agenda for each meeting of the Board of Directors by working with the Chief Executive Officer (CEO).

1.3 To provide sufficient time and encourage each director to discuss and exchange opinions fully, independently and prudently whereby the interests of all stakeholders are taken into consideration.

1.4 To build and strengthen a good relationship between the Board of Directors and the Management, to support and ensure that the CEO's and the Management's work are in accordance with the Company's policies and to promote the relationship between the Company, shareholders, other financial institutions and media.

1.5 To supervise and arrange for a performance appraisal of the Board of Directors and committees so that the appraisal's results can be used to enhance the directors' and committee's performance as well as their

knowledge and capacities; to ensure that the directors' performance is efficient and effective.

- 1.6 To supervise and ensure that the Board of Directors' structure and components are appropriate.
- 1.7 To oversee and ensure that the Board of Directors' performance and the Company's operations are in line with good corporate governance, laws, including rules and regulations of the Company, the Office of Securities and Exchange Commission and other related regulatory bodies.

## 2. To lead the shareholders' meeting

To ensure that the shareholders' meeting is conducted in accordance with the Company's articles of association and relevant laws by holding the meeting at appropriate time, providing shareholders with opportunity to express their opinions on an equal basis and ensuring that all issues raised by the shareholders are answered appropriately and transparently.

## 3. To oversee the Company's business operation

- 3.1 To scrutinize the policies, directions, strategic targets and business plans of the Company and subsidiaries which had been prepared by CEO for further proposing to the Board of Directors
  - 3.2 To follow up that the business operations of the Company and subsidiaries are in line with the approved policies and business plans and to oversee that CEO has effectively and efficiently performed his/her duties in accordance with the approved policies.
  - 3.3 To formulate the structure/organization of the Company and subsidiaries, to set the scope of roles, responsibilities and authorization of CEO and other operational committees, including to oversee that CEO and other operational committees has performed in line with the framework provided by the Board of Directors.
4. To consider and promote any new plans or projects in order to enhance the Company's operational efficiency and to make the Company better correspond to any changes.
  5. To build and strengthen network with the Company's key and target clients, including to encourage relevant staff to take care of these clients properly.
  6. To supervise and be a role model in complying with the corporate governance and business ethics of the Company and other relevant regulatory authorities in order to maintain the Company's image and reputation and to sustain the Company's business.
  7. To suggest and propose the Board of Directors to approve an engagement, appointment, transfer or termination of the employment contract made with CEO.

8. To approve the Company's spending of any amount or annual expense budget under his/her approval authority which has been approved by the Board of Directors.

In 2020, the meetings of the Company's Board of Directors and committees as well as attendance record of each director in each meeting can be summarized as follows:

List of Directors	Attendance/Total Meeting			
	Board of Director	Audit committee	The Nomination and Remuneration Committee	Annual General Meeting of Shareholder
1 Dr. Panja Senadisai	7/8	6/6	5/5	1/1
2 Mr. Pairote Varophas	7/8	5/6		1/1
3 Mr. Abhichart Arbhabhirama	7/8	5/6	5/5	1/1
4 Mr. Surachai Suksriwong*	0/8		0/5	
5 Mr. Nitipon Chaisakulcahi	8/8		5/5	1/1
6 Dr. Worata Kongseannitsarar	7/8			1/1
7 Mr.Kampanart Lohacharoenvanich	8/8	6/6		1/1
8 Mr. Sorayouth Prompoj **	8/8			1/1
9 Ms. Kanitha Subpa-Asa *	4/8			
10 Mr.Pakhawat Kovitvathanaphong	8/8		2/5	1/1
11 Mr.Charnchai Kongthongluck	8/8			1/1
12 Dr.Visit Ongpipattanakul	8/8			1/1
<b>Total Number of Meeting Held</b>	<b>8</b>	<b>6</b>	<b>5</b>	<b>2</b>

Note: \* Mr. Surachai Suksriwong resigned from being a director on January 3, 2020.

\*\* Ms. Kanitha Subpa-Asa was appointed as a Director on August 13, 2020

In the past year, non-executive directors have a meeting among themselves without management when considering the meeting agenda related to management, such as consideration of executive compensation.

## B. Trinity Securities Company Limited

### Board of Directors

As of 31 December 2020, the Board of Directors consists of 9 members, namely

- |                                   |   |
|-----------------------------------|---|
| 1. Mr.Pakhawat Kovithvathanaphong | Chairman  |
| 2. Mr.Abhichart Arbhabhirama      | Independent Director and<br>Chairman of the Audit Committee |
| 3. Dr.Panja Senadisai             | Independent Director and<br>Member of the Audit Committee   |

4. Mr.Pairote Varophas	Independent Director and Member of the Audit Committee
5. Mr.Charnchai Kongthongluck	Director
6. Dr.Visit Ongpipatanakul	Director
7. Mrs.Niyada Changtrakul	Director
8. Ms.Suwannee Limpanavongsaen	Director
9. Mrs.Niyada Changtrakul	Director

Note: Ms.Suthang Khonsilpa resigned from being a director on January 5, 2021.

#### **Authorized Directors**

To bind the company, two of the following five authorized directors shall sign: Mr.Charnchai Kongthongluck Dr.Visit Ongpipatanakul Ms. Suthang Khonsilpa Ms. Suwannee Limpanavongsaen and Mrs. Niyada Changtrakul.

#### **Scope of Duties and Responsibilities of the Board of Directors of Subsidiary Companies**

1. Perform duties with caution, honesty and operate the business in accordance with the laws, objectives and regulations of the company as well as the resolution of the shareholders' meeting

2. To review and propose the company's business plan and annual budget to the Chief Executive Officer and further forward to the Board of Directors of Trinity Watthana Plc. for approval and to follow up and supervise the company's operation to be in line with the plan.

3. To approve any change or additions in the company's annual expenditure budget during the period when there is no meeting of the Board of Directors of Trinity Watthana Plc. and to propose such change or additions to the Board of Directors of Trinity Watthana Plc. for acknowledgment in their next meeting.

4. To set, assess and review the company's business strategies to correspond to the company's business plan.

5. To approve any internal authorization. In this regard, the board of directors may authorize any person to take care of this work for them.

6. To approve the company's risk management policies and to ensure that the risk management is implemented in accordance with the policies.

7. To appraise the performance of the company and employees.

The above duties and responsibilities assigned to Board of Directors do not include an authority allowing the Board of Directors to approve the transactions which may cause themselves or any conflicting parties to have interest or conflict of interest of any manner with the Company. Also, the Board of Directors are required to seek approval from the shareholders for an

engagement in any connected transactions and the acquisition or disposition of key assets of the company in accordance with the stipulations of the Stock Exchange of Thailand.

#### Authorization by the Board of Directors

The Meeting of the Board of Directors No. 2/2004 held on 23 February 2004 approved an authorization of two authorized directors to perform any actions for or on behalf of the company. These two authorized directors may then authorize staff of any divisions in the company or subsidiaries to perform any actions for or on behalf of the company within the scope or responsibilities delegated to each staff.

In 2020, the meetings of the boards of directors and committee of Trinity Securities Co., Ltd. as well as the attendance record of each director in each meeting can be summarized as follows:

	List of Directors	Attendance/Total Meeting	
		Board of Directors	Audit Committee
1	Mr.Pakhawat Kovithvathanaphong	8/8	
2	Dr.Panja Senadisai	7/8	5/5
3	Mr.Pairote Varophas	7/8	4/5
4	Mr. Abhichart Arbhabhira	7/8	5/5
5	Mr.Charnchai Kongthongluck	8/8	
6	Dr.Visit Ongpipattanakul	8/8	
7	Ms.Suthang Khonsilpa	5/8	
8	Ms.Suwannee Limpanavongsaen	8/8	
9	Mrs.Niyada Changtrakul	8/8	
	<b>Total Number of Meeting Held</b>	<b>8</b>	<b>5</b>

### C. Trinity Advisory 2001 Company Limited

#### Board of Directors

As of 31 December 2020 the Board of Directors consists of 4 members, namely

- |                                 |          |
|---------------------------------|----------|
| 1. Mr.Pakhawat Kovithvathaphong | Chairman |
| 2. Mr. Charnchai Kongthongluck  | Director |
| 3. Ms. Suthang Khonsilpa        | Director |
| 4. Mrs. Anong Yuvahong          | Director |

### Authorized Directors

To bind the Company, two of the following four authorized directors shall sign together: Mr.Pakhawat Kovithvathaphong, Mr.Charnchai Kongthongluck, Ms.Suthang Khonsilpa and Ms.Anong Yuvahong

### Scope of Duties and Responsibilities of the Board of Directors

The scope of duties and responsibilities of the board of directors of Trinity Advisory 2001 Co., Ltd. is outlined by the scope of duties and responsibilities of the board of directors of subsidiary companies. (See the Scope of Duties and Responsibilities of the Board of Directors of Subsidiary Companies for further details.)

In 2020, the meetings of the boards of directors and committee of Trinity Advisory 2001 Co., Ltd. as well as the attendance record of each director in each meeting can be summarized as follows:

List of Directors		Attendance/Total Meeting
		Board of Director
1.	Mr.Pakhawat Kovithvathaphong	1/1
2.	Mr.Charnchai Kongthongluck	1/1
3.	Ms. Suthang Khonsilpa	1/1
4.	Ms. Anong Yuvahong	1/1

### D. Trinity Intelligence Plus Co.,Ltd.

#### Board of Directors

As of 31 December 2020 the Board of Directors consists of 5 members, namely

1. Mr.Charnchai Kongthongluck	Chairman
2. Ms.Suwannee Limpanavongsaen	Director
3. Mrs.Niyada Changtrakul	Director
4. Ms. Suthang Khonsilpa	Director
5. Mr.Nattawut Naruekatpichai	Director

### Authorized Directors

To bind the Company, two of the following five authorized directors shall sign together: Mr. Charnchai Kongthongluck, Ms.Suwannee Limpanavongsaen, Mrs. Niyada Changtrakul and Ms. Suthang Khonsilpa and Mr.Nattawut Naruekatpichai



### Scope of Duties and Responsibilities of the Board of Directors

The scope of duties and responsibilities of the board of directors of Trinity Advisory 2001 Co., Ltd. is outlined by the scope of duties and responsibilities of the board of directors of subsidiary companies. (See the Scope of Duties and Responsibilities of the Board of Directors of Subsidiary Companies for further details.)

In 2020, the meetings of the boards of directors and committee of Trinity Intelligence Plus Co., Ltd. as well as the attendance record of each director in each meeting can be summarized as follows:

<b>List of Directors</b>	<b>Attendance/Total Meeting</b>
	<b>Board of Director</b>
1. Mr.Charnchai Kongthongluck	2/2
2. Ms.Suwannee Limpanavongsaen	2/2
3. Mrs.Niyada Changtrakul	2/2
4. Ms. Suthang Khonsilpa	1/2
5. Mr.Nattawut Naruekatpichai	2/2
<b>Total Number of Meeting Held</b>	<b>2</b>

### E. Trinity One Co.,Ltd.

#### Board of Directors

As of 31 December 2020 the Board of Directors consists of 3 members, namely

Mr. Pakhawat Kovithvathaphong	Chairman
Mr. Charnchai Kongthongluck	Director
Dr. Visit Ongpipattanakul	Director

#### Authorized Directors

To bind the Company, two of the following Three authorized directors shall sign together: Mr. Pakhawat Kovithvathaphong, Mr. Charnchai Kongthongluck and Dr.Visit Ongpipattanakul

### Scope of Duties and Responsibilities of the Board of Directors

The scope of duties and responsibilities of the board of directors of Trinity one Co., Ltd. is outlined by the scope of duties and responsibilities of the board of directors of subsidiary companies.(See the Scope of Duties and Responsibilities of the Board of Directors of Subsidiary Companies for further details.)

In 2020, the meetings of the boards of directors and committee of Trinity One Co., Ltd. as well as the attendance record of each director in each meeting can be summarized as follows

<b>List of Directors</b>	<b>Attendance/Total Meeting</b>
	<b>Board of Director</b>
1. Mr.Pakhawat Kovithvathaphong	1/1
2. Mr.Charnchai Kongthongluck	1/1
3. Dr.Visit Ongpipattanakul	1/1
<b>Total Number of Meeting Held</b>	<b>1</b>

## **F. Asset Back Holdings Company Limited**

### **Board of directors**

As of December 31, 2020, the Board of Directors consists of 3 persons as follows:

1. Mr. Chanchai Kongthonglak Chairman of the Board
2. Mrs. Niyada Changtrakul Director
3. Ms. Suwannee Limpanawongsaen Director

### **Authorized Director**

The authorized directors of the Company are two of the three directors who sign together.

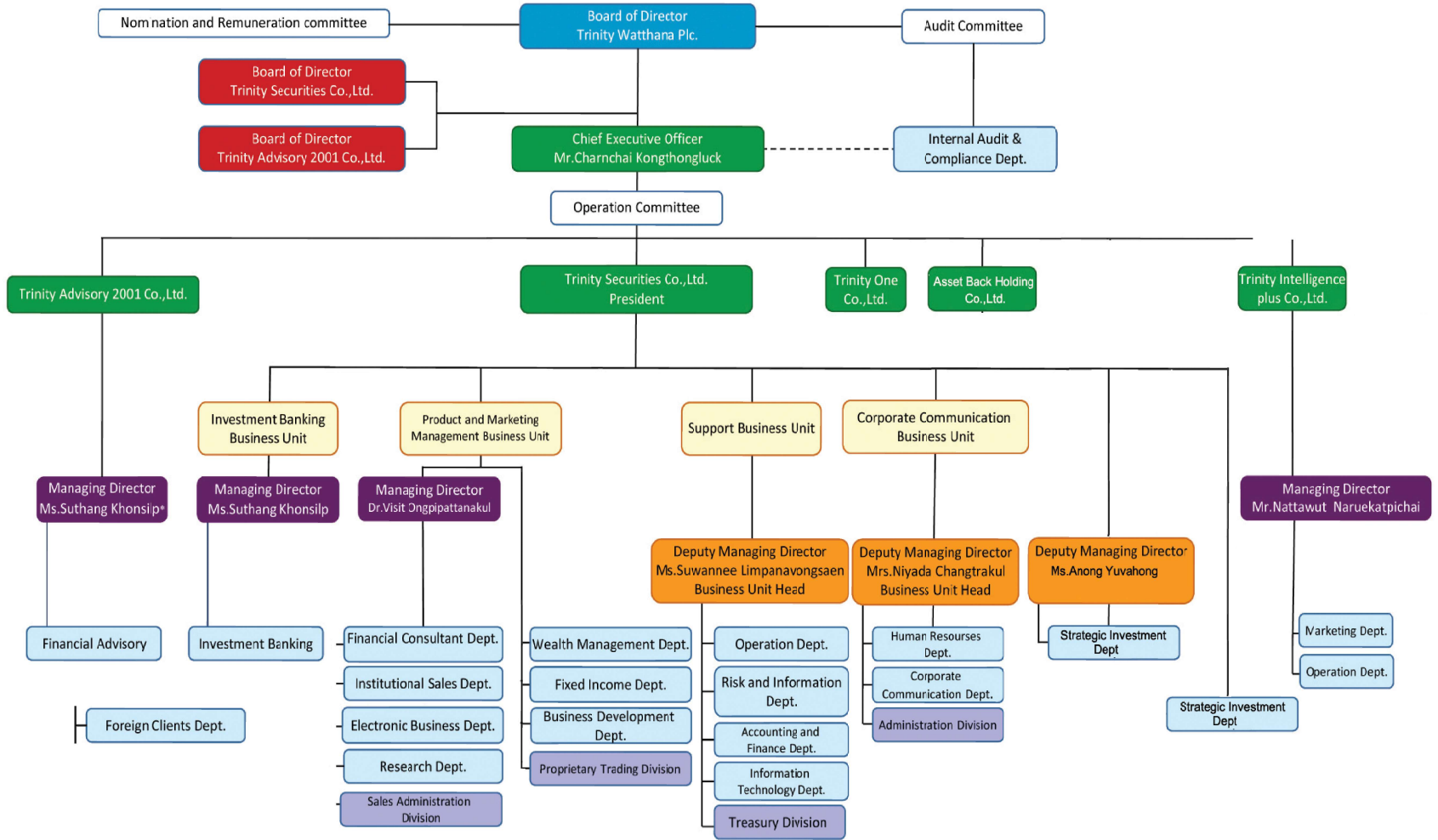
### **Scope of Duties and Responsibilities of Directors**

The powers and duties of the Board of Directors will be in accordance with the scope of duties and responsibilities of the Board of Directors in the group. (Please see details in the Scope of Duties and Responsibilities of the Board of Directors of the Group)

In 2020, Asset Back Holding Company Limited has held meetings and the number of times each director attended each committee meeting, which can be summarized as follows:

<b>List of Directors</b>	<b>Attendance/Total Meeting</b>
	<b>Board of Director</b>
1 Mr. Chanchai Kongthonglak	1/1
2 Mrs. Niyada Changtrakul	1/1
3 Ms.Suwannee Limpanawongsaen	1/1

## Management Organization Structure



Remark \* Staff of TrinitySecurities Co.,Ltd.

### **A. Trinity Watthana Public Company Limited.**

Trinity Watthana Plc. appointed Mr. Charnchai Kongthongluck, an executive director, to be the Company's Chief Executive Officer (CEO) to liaise, supervise and ensure that the business operations of subsidiaries are consistent with the policies set by the Company's Board of Directors.

The Company does not have a management team given the nature of the Company's business as a holding company. The investment in listed companies has been prudently supervised and risk management overseen by the Proprietary Committee and the President of Trinity Securities Co., Ltd. Therefore there is no specific committee assigned for the tasks.

#### **Scope of Duties and Responsibilities of the Chief Executive Officer**

1. To carefully prepare and propose the Company's business plan and annual budget to the Chairman and the Company's Board of Directors for approval and to follow up and supervise the Company's operation to be in line with the plan.

2. Set strategic direction and goals and propose policies, management plan both within the company and other companies in the group, including being responsible for operating results in accordance with the policies ,strategic goals and the plan approved by the Board of Directors.

3. To make decision on any activities relating to the Company's operation.

4. To approve the appointment of the members for the operational committee such as Credit Committee Investment Committee and Proprietary Committee and delegate the roles responsibilities and authorization to the committee as designated by the Board of Directors.

5. To consider and allocate remuneration which had been approved by the Nomination and Compensation Committee and the Company's Board of Directors to the Management, employees and staff including any persons performing works for the Company.

6. To propose an appointment, transfer or terminate the employment contract of any Presidents and Managing Director to the Company's Board of Directors.

7. To approve an engagement, appointment, transfer and termination of employment contract made with any employees, including to determine wages, salaries and remunerations of the Company's staff, ranging from general staff to the president based on appropriate norms. In this regard, the Chief Executive Officer may appoint an authorized person to discharge this duty on his/her behalf.

8. To propose an annual remuneration budget for the Company's staff which is appropriate, reflective of the Company's performance and competitive

within the industry to the Nomination and Compensation Committee for consideration and approval.

9. To manage risks from the Company's business operation in accordance with the policies and report the risk management to the Company's Board of Directors for acknowledgement.

10. To approve the Company's spending of any amount or annual expense budget under his/her approval authority which has been approved by the Company's Board of Directors.

The above duties and responsibilities assigned to the Chief Executive Officer do not include an authority allowing the Chief Executive Officer to approve the transactions which may cause himself/herself or any conflicting parties to have interest or conflict of interest of any manner with the Company. Also, the Chief Executive Officer is required to seek approval from the shareholders for an engagement in any connected transactions and the acquisition or disposition of key assets of the Company in accordance with the stipulations of the Stock Exchange of Thailand.

#### **B. Trinity Securities Company Limited**

As of December 31, 2020 the Top Management consists of 9 members, namely

1. Mr.Pakhawat Kovithvathaphong	Chairman
2. Mr.Charnchai Kongthongluck	President
3. Dr. Visit Ongpipatanakul	Managing Director Product and Marketing Business Unit
4. Ms.Suthang Khonsilpa	Managing Director Investment Banking
5. Mrs.Niyada Changtrakul	Deputy Managing Director Corporate Communication Business Unit
6. Ms.Suwannee Limpanavongsaen	Deputy Managing Director Support Business Unit
7. Mr.Woravit Sinyoo	Deputy Managing Director Financial Consultant Department
8. Ms.Anong Yuvahong	Deputy Managing Director Investment Banking Department
9. Mrs.Kaewkamol Tantixalerm	Deputy Managing Director Wealth Management Department

### Scope of Duties and Responsibilities of President

1. To propose business plan and annual budget to the Chief Executive Officer and the subsidiaries' boards of directors for consideration.
2. To supervise and ensure that the company's operation is in line with the stipulated plan and policies.
3. To perform internal management including finance, marketing, human resources and other operation works.
4. To jointly make a decision with the Chief Executive Officer with regard to any activities relating to the company's operation.
5. To jointly make a decision with the Chief Executive Officer with regard to an allocation of remunerations/rewards, approved by the company's board of directors to the company's staffs or employees or any persons working for the company.
6. To manage risks in accordance with the policies and propose the report thereof to the company's board of directors for acknowledgement.
7. To approve the expenses within the limit or annual expenditure budget approved by the company's board of directors.

The above duties and responsibilities assigned to the President do not include an authority allowing the president to approve the transactions which may cause his/herself or any conflicting parties to have interest or conflict of interest of any manner with the company. Also, the president is required to seek approval from the shareholders for an engagement in any connected transactions and the acquisition or disposition of key assets of the company in accordance with the stipulations of the Stock Exchange of Thailand.

### Management's Authority

The company authorizes the Management to approve any disbursement of expense, amortization and a handling of losses from operation within the amount levels as outlined below:

Type of Transaction	Amount (Baht)	Authorized Persons
1. Amortization of loss from sale or depreciation of assets*	Less than Baht 500,000	Chairman, Chief Executive Officer or President or Managing Director , totaling two persons
	Less than Baht 200,000	Chairman, Chief Executive Officer or President or One Managing Director
	Less than Baht 50,000	One Deputy Managing Director or any person of higher rank

Type of Transaction	Amount (Baht)	Authorized Persons
2. Error expense arising from error trading	Less than Baht 10 Million	Chairman, Chief Executive Officer or President or Managing Director , totaling two persons
	Less than Baht 200,000	Chairman, Chief Executive Officer or President or One Managing Director
	Less than Baht 50,000	One Deputy Managing Director or any person of higher rank
	Less than Baht 20,000	One Deputy Managing Director or Executive Director
	Less than Baht 10,000	A Head of Sales or Branch Manager or any person of higher rank

\*To write-off loss from disposal or allowance of key assets of the company includes receivables or investment, the Management is required to propose this transaction to the Board of Directors for consideration prior to take action.

In case of capital expenditure, the Management is required to prepare an annual capital expenditure budget to seek approval from the Board of Directors of Trinity Watthana Plc. with an exception for an urgent case where no budget is available and the required expense is less than 20% of the annual capital expenditure budget. In such case, approval from Chairman or chief Executive Director or President or Managing Director ,totaling two persons is required for the expense exceed Baht 10,000 if the expense is less than Baht 10,000, the approval is required for one Deputy Managing Director.

### C. Trinity Advisory 2001 Company Limited

As of December 31, 2020 the Board of Directors consists of 3 members, namely

- |                                 |                    |
|---------------------------------|--------------------|
| 1. Mr.Pakhawat Kovithvathaphong | Chairman           |
| 2. Ms.Suthang Khonsilpa         | Managing Director  |
| 3. Mrs.Pimwi-on Jangjamjitt     | Executive Director |

### Company Secretary

The Board of directors has appointed Ms.Suwanee Limpanavongsaen, Deputy Managing Director, Support Business Unit to perform the role of Company Secretary and directly report to Chief Executive Officer with the required qualification as follows:

- Graduate in Laws or Accounting
- Experience in Corporate Secretary job or attend the training course for Corporate Secretary.

### **Duties and Responsibilities**

1. Co-ordinations and assisting in Board's activities to monitor accordance to the laws, rules and regulations, the resolution of Board of Directors and Shareholders meetings.
2. Preparing and filing the registration of Directors.
3. Preparing and filing notices calling, agenda, documents, minutes of Board of Directors meeting in accordance with the laws and regulations.
4. Preparing and filing notices calling, agenda, documents, minutes of Shareholders meeting in accordance with the laws and regulations.
5. Providing basic advice pertaining to the laws and regulations governing the securities business among the Board of Directors to perform efficiently as Directors for the benefit of the Company.
6. Responsible for preparing and filing 56-1 form and 56-2 form.
7. Filing the report from the Directors and Executives on their conflict of interest as required by Laws.

### **Management's Remuneration**

#### **A) Trinity Watthana Public Company Limited**

##### **1. Cash Remuneration**

###### **Directors**

In 2020, 11 members of the Company's Board of Directors collectively received meeting allowance in the total amount of Baht 4,820,000 and directors' bonus for year 2019 in the amount of Baht 5,980,000. For the directors' bonus for year 2020, the Company reserved Baht 7,500,000 in accordance with the resolution passed by the Board of Directors' Meeting No. 1/2021. The remuneration provided to each director in 2018 were shown below.



### A. Meeting Allowance

Directors	Position	Board of Director	Audit Committee	The Nomination and Remuneration Committee	Total 2020
Mr.Pakhawat Kovithvathanaphong	Chairman	720,000	-	-	720,000
Dr. Panja Senadisai	Director Audit Committee and The Nomination and Remuneration Committee	240,000	300,000	-	540,000
Mr. Pairote Varophas	Director and Audit Committee	240,000	300,000	-	540,000
Mr. Abhichart Arbhabharama	Director and Audit Committee	240,000	600,000	-	840,000
Mr. Kampanart Lohacharoenvanich	Director and Audit Committee	240,000	300,000	-	540,000
Mr. Surachai Suksriwong	Director and The Nomination and Remuneration Committee	240,000	-	240,000	480,000
Mrs. Kanitha Subpa-Asa *	Director and The Nomination and Remuneration Committee	100,000	-	100,000	200,000
Mr. Nitipon Chaisakulchai	Director and The Nomination and Remuneration Committee	240,000	-	240,000	480,000
Dr. Worata Kongseannitsarar	Director	240,000	-	-	240,000
Mr. Sorayouth Prompoj	Director	240,000	-	-	240,000
Mr.Charnchai Kongthongluck	Director	240,000	-	-	240,000
Dr.Visit Ongpipatanakul	Director	240,000	-	-	240,000
<b>Total</b>		<b>2,980,000</b>	<b>1,500,000</b>	<b>340,000</b>	<b>4,820,000</b>

Remark \* Ms. Kanitha Subpa-Asa was appointed as a Director on August 13, 2020

### B. Cash Remuneration

Director	Position	2020
Mr. Pakhawat Kovithvathanaphong	Chairman	780,000
Dr. Panja Senadisai	Director Audit Committee and Chairman of The Nomination and Remuneration Committee	520,000
Mr. Pairote Varophas	Director and Audit Committee	520,000
Mr. Abhichart Arbhabharama	Director and Chairman of Audit Committee	520,000

Director	Position	2020
Mr. Kampanart Lohacharoenvanich	Director and Audit Committee	520,000
Mr. Surachai Suksriwong	Director and The Nomination and Remuneration Committee	520,000
Mr. Nitipon Chaisakulchai	Director and The Nomination and Remuneration Committee	520,000
Dr. Worata Kongseannitsarar	Director	520,000
Mr. Sorayouth Prompoj	Director	520,000
Mr. Charnchai Kongthongluck	Director	520,000
Dr. Visit Ongpipatanakul	Director	520,000
<b>Total</b>		<b>5,980,000</b>

## Management

-None-

### 2. Other Remunerations

#### Directors

In 2019 and 2020 the Company provided a Directors and Officers Liabilities Insurance for the Company and subsidiaries in the amount of Baht 50 million. Furthermore, the company has provided the welfare for expenses incurred while admit in the hospital in addition with the Life Insurance and Accidental Insurance coverage per director for the amount of Baht 900,000 and Baht 3,600,000 respectively.

On March 9, 2018, an extraordinary meeting of the shareholders of the Company passed a resolution approving the allocation of warrants for the purchase of the Company's ordinary shares to the directors, executives, and employees of the Company and its subsidiaries (TNITY-WA) in the amount of 30,000,000 units.

### B) Trinity Securities Company Limited

#### 1. Cash Remuneration

##### Directors

-None-

##### Management

Type of Remuneration	2019		2020	
	No. of Management	Amount	No. of Management	Amount
Salary and Bonus	9	62,062,367	9	67,295,356
Provident Fund Contribution and Others	9	11,051,969	9	12,767,617

## **2. Other Remunerations**

### **Directors and Management**

In 2019 and 2020, the Company provided a Directors and Officers Liabilities Insurance for the Company and subsidiaries in the amount of Baht 50 million.